

Monotonicity under Knowledge

Epistemic Possibility in Logic and Conversation

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Outline

Interaction between knowledge, belief and epistemic possibility

- Puzzle 1: Tension between free choice and monotonicity
- Puzzle 2: Know-might and believe-might

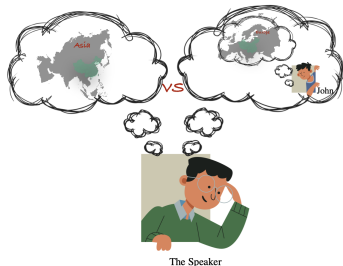
Main ingredients of the analysis

- Free choice as pragmatic enrichment in BSML
- Expressive analysis of epistemic possibility
- Plausibility model for belief in epistemic logic
- Factivity as presupposition

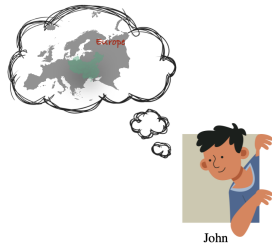
A framework for epistemic possibility under knowledge and belief

Knowledge, belief and epistemic possibility

John *knows* China *might* be
in Europe



John *believes* China *might* be
in Europe



Free choice disjunction

- ▶ Free choice principle

$$\diamond(\alpha \vee \beta) \rightsquigarrow \diamond\alpha \wedge \diamond\beta$$

- ▶ Deontic free choice:

- (1)
 - a. Zhangsan may go to the restaurant or to the cinema.
 - b. \rightsquigarrow Zhangsan may go to the restaurant and he may go to the cinema

(Kamp1973)

- ▶ Epistemic free choice:

- (2)
 - a. Zhangsan might be in Beijing or in London
 - b. \rightsquigarrow Zhangsan might be in Beijing and he might be in London

(Zimmermann2000)

Free choice under knowledge and belief

- ▶ Free choice under knowledge: $[K]\blacklozenge(\alpha \vee \beta) \rightsquigarrow [K]\blacklozenge\alpha \wedge [K]\blacklozenge\beta$
 - (3) a. Lisi knows Zhangsan might be in Beijing or in London
 - b. \rightsquigarrow Lisi knows Zhangsan might be in Beijing, and Lisi knows Zhangsan might be in London

- ▶ Free choice under belief: $[B]\blacklozenge(\alpha \vee \beta) \rightsquigarrow [B]\blacklozenge\alpha \wedge [B]\blacklozenge\beta$
 - (4) a. Lisi believes Zhangsan might be in Beijing or in London
 - b. \rightsquigarrow Lisi believes Zhangsan might be in Beijing, and Lisi believes Zhangsan might be in London

Puzzle 1: free choice vs monotonicity

- a. China is in Asia (and not in Europe) p
- b. John knows that China might be in Asia. $[K]\blacklozenge p$
upward monotonicity
- c. John knows that China might be in Asia or Europe. $[K]\blacklozenge (p \vee \neg p)$
free choice principle
- d. John knows that China might be in Europe. $[K]\blacklozenge \neg p$
factivity of knowledge
- e. China might be in Europe. $\blacklozenge \neg p$

► The puzzle:

China is not in Europe, but China might be in Europe.

Epistemic contradictions

Why contradictory?

Philosophers have observed the infelicity of the following sentence (Wittgenstein1953, Veltman1996, Yalcin2007, Mandelkern2019):

(5) # It is not raining but it might be raining

- ▶ Epistemic contradiction: $\neg\phi \wedge \blacklozenge\phi \models \perp$
- ▶ Non-factivity of *might*: $\blacklozenge\phi \not\models \phi$

(Yalcin2007)

Puzzle 2: know-might vs believe-might

- ▶ Believing epistemic contradictions

(6) # John believes that it is raining but he believes that it might not be raining.

It is always incoherent to believe an epistemic contradiction (see Beddor&Goldstein2017)

- ▶ Replace the *believe* in the latter clause with *know*:

(7) John believes that it is raining but he knows that it might not be raining.

However, if 'know' entails 'believe', this is puzzling¹.

¹This puzzle is from Matthew Mandelkern

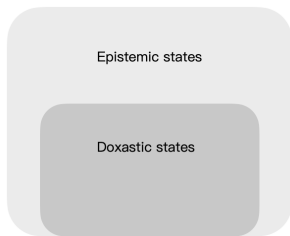
Strategy for puzzle 1: free choice in BSML

- ▶ In Bilateral state-based modal logic (BSML, Aloni2022), free choice effects can be derived **only** for pragmatically enriched formulas: $[\diamond(\phi \vee \psi)]^+ \models \diamond\phi \wedge \diamond\psi$

$$\begin{array}{ccc} & [K] \blacklozenge p & \\ & \Downarrow & \Downarrow \\ [K] \blacklozenge (p \vee \neg p) & & [K] [\blacklozenge (p \vee \neg p)]^+ \\ & \Downarrow & \Downarrow \\ & [K] \blacklozenge \neg p & \end{array}$$

Strategy for puzzle 2: plausibility model in epistemic logic

In logic, a view of belief follows the intuition that we believe those things that hold in the ‘best’ worlds epistemically accessible to us, see (vanBenthem2006, BaltagSmets2006) etc.



- ▶ A plausibility ordering ranks the epistemic states, and hence gives much finer gradations.
- ▶ Doxastic states are those most plausible states.

Plausibility model

- ▶ A plausibility model is a tuple $M = \langle W, \sim, \leq_w, V \rangle$
 - ▶ \sim is an equivalence relation, and gives rise to equivalence classes $[w]$ for each world $w \in W$.
 - ▶ \leq_w is plausibility relations for all worlds $w \in W$. Intuitively, $v \leq_w v'$ says that at world w , the agent thinks that v is at least as plausible as v' .
- ▶ The semantics for the doxastic formula is defined as:

$$M, w \models [B]\phi \text{ iff } M, v \models \phi \text{ for all } v \in \text{Min}_{\leq_w}([w])$$

where $\text{Min}_{\leq_w}([w]) := \{v \in [w] \mid \forall v' \in [w] : v' \leq_w v \Rightarrow v \leq_w v'\}$

- ▶ Notice that: on this account knowledge entails belief.

Epistemic extension for BSML

Language

The language $\mathcal{L}_{\mathcal{E}}$ is the propositional language enriched with the non-emptiness atom NE, epistemic operators and presupposition.

$$\varphi ::= p \mid \neg\varphi \mid \varphi_{\varphi} \mid \varphi \wedge \varphi \mid \varphi \vee \varphi \mid [B]\varphi \mid [I]\varphi \mid \blacklozenge\varphi \mid \text{NE}$$

- ▶ $[I]\varphi$ means that according to the information the agent has, φ is true.
- ▶ φ_{φ} indicates that φ presupposes φ .
- ▶ \blacklozenge is epistemic possibility.
- ▶ Knowledge as a derived notion:

$$[K]\phi := ([I]\phi)_{\phi}$$

Semantics

- ▶ An epistemic model for $\mathcal{L}_{\mathcal{E}}$ is a tuple $\mathcal{M} = \langle W, \sim, \leq_w, V \rangle$.
 - ▶ \sim is an equivalence relation on W , and gives rise to the equivalence class $[w] := \{v \mid v \sim w\}$.
 - ▶ \leq_w is the plausibility ordering among possible worlds.
 $Min_{\leq_w}([w]) := \{v \in [w] \mid \forall v' \in [w] : v' \leq_w v \Rightarrow v \leq_w v'\}$
- ▶ An information state s is a subset of W : $s \subseteq W$. Formulas in our language are interpreted with respect to an information state:
 $\mathcal{M}, s \models \phi$.
- ▶ The interpretation $\mathcal{M}, s \models \phi$ stands for “formula ϕ is assertable in s ” and $\mathcal{M}, s \not\models \phi$ stands for “ ϕ is rejectable in s ”

Semantics

$\mathcal{M}, s \models p$ iff $\forall w \in s : V(w, p) = 1$

$\mathcal{M}, s \not\models p$ iff $\forall w \in s : V(w, p) = 0$

$\mathcal{M}, s \models \neg\phi$ iff $\mathcal{M}, s \not\models \phi$

$\mathcal{M}, s \not\models \neg\phi$ iff $\mathcal{M}, s \models \phi$

$\mathcal{M}, s \models \phi \vee \psi$ iff $\exists t, t' : t \cup t' = s$ and $\mathcal{M}, t \models \phi$ and $\mathcal{M}, t' \models \psi$

$\mathcal{M}, s \not\models \phi \vee \psi$ iff $\mathcal{M}, s \not\models \phi$ and $\mathcal{M}, s \not\models \psi$

$\mathcal{M}, s \models \phi \wedge \psi$ iff $\mathcal{M}, s \models \phi$ and $\mathcal{M}, s \models \psi$

$\mathcal{M}, s \not\models \phi \wedge \psi$ iff $\exists t, t' : t \cup t' = s$ and $\mathcal{M}, t \not\models \phi$ and $\mathcal{M}, t' \not\models \psi$

$\mathcal{M}, s \models \text{NE}$ iff $s \neq \emptyset$

$\mathcal{M}, s \not\models \text{NE}$ iff $s = \emptyset$

Semantics

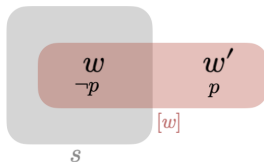
$$\begin{aligned}\mathcal{M}, s \models \blacklozenge \phi & \text{ iff } \exists s' \subseteq s \text{ and } s' \neq \emptyset \text{ s.t. } \mathcal{M}, s' \models \phi \\ \mathcal{M}, s \models \blacklozenge \phi & \text{ iff } \mathcal{M}, s \models \phi\end{aligned}$$

$$\begin{aligned}\mathcal{M}, s \models [I]\phi & \text{ iff } \forall w \in s : [w] \models \phi \\ \mathcal{M}, s \models [I]\phi & \text{ iff } \forall w \in s : \text{there is a non-empty subset } t \subseteq [w] \text{ and } t \models \phi\end{aligned}$$

$$\begin{aligned}\mathcal{M}, s \models [B]\phi & \text{ iff } \forall w \in s, \text{Min}_{\leq w}([w]) \models \phi \\ \mathcal{M}, s \models [B]\phi & \text{ iff } \forall w \in s, \text{Min}_{\leq w}([w]) \models \phi\end{aligned}$$

Reflexivity runs into problems for factivity

- ▶ Reflexivity is not enough to derive factivity. We have $[I]p \models p$
- ▶ But, we have problems with $[I]\blacklozenge p \models \blacklozenge p$:



$$s \models [I]\blacklozenge p, \text{ but } s \not\models \blacklozenge p$$

- ▶ $\blacklozenge p$ within the scope of $[I]$ is interpreted with respect to $[w]$.
Whereas $\blacklozenge p$ is interpreted with respect to s .

Factivity as presupposition

- ▶ To derive the factivity of knowledge with *might*-sentences, we define knowledge in term of presupposition and information:

$$[K]\phi := ([I]\phi)_\phi$$

$$\mathcal{M}, s \models \phi_\psi \quad \text{iff} \quad s \models \phi \text{ and } s \models \psi$$

$$\mathcal{M}, s \models \phi_\psi \quad \text{iff} \quad s \models \phi \text{ and } s \models \psi$$

- ▶ Intuitively, ‘*a* knows ϕ ’ not only means *a* has information of ϕ , it also presupposes that ϕ is true. Then we have

$$[K]\blacklozenge p \models \blacklozenge p$$

- ▶ But also we have the projection of factive inferences under negation:

$$\neg[K]\blacklozenge p \models \blacklozenge p$$

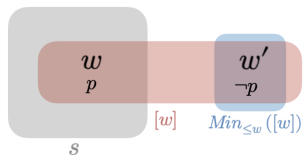
- (8) a. John doesn’t know Susan might be in Bergen
 b. \Rightarrow Susan might be in Bergen

From knowledge to belief

- ▶ In our framework, the entailment from knowledge to belief holds only for the \blacklozenge -free fragment of the language:

$$[K]\phi \models [B]\phi, \text{ if } \phi \text{ is a } \blacklozenge\text{-free formula}$$

- ▶ But $[K]\blacklozenge p \not\models [B]\blacklozenge p$.



$$s \models [K]\blacklozenge p, \text{ but } s \not\models [B]\blacklozenge p$$

- ▶ This gives us a solution to Puzzle 2.

Summary of results

- ▶ Epistemic contradiction

$$p \wedge \blacklozenge \neg p \models \perp$$

- ▶ Non-factivity of epistemic possibility

$$\blacklozenge p \not\models p$$

- ▶ Free choice under attitude verbs (Puzzle 1)

$$[K]/[B]/[I][\blacklozenge(\phi \vee \psi)]^+ \models [K]/[B]/[I]\blacklozenge\phi \wedge [K]/[B]/[I]\blacklozenge\psi$$

- ▶ Believing epistemic contradictions (Puzzle 2)

$$[B]p \wedge [B]\blacklozenge \neg p \models \perp$$

$$[B]p \wedge [K]\blacklozenge \neg p \not\models \perp$$

- ▶ Duality

$$[I]\blacklozenge\phi \Leftrightarrow \langle I \rangle \phi$$

$$[B]\blacklozenge\phi \Leftrightarrow \langle B \rangle \phi$$

- ▶ Factivity of knowledge with might

$$[K]\blacklozenge\phi \models \blacklozenge\phi$$

- ▶ Factive inference projects under negation

$$\neg[K]\blacklozenge\phi \models \blacklozenge\phi$$

Conclusion

- ▶ Two puzzles
 - ▶ Puzzle 1: tension between free choice and monotonicity
 - ▶ Puzzle 2: know-might and believe might
- ▶ Main ingredients in our analysis:
 - ▶ Free choice as pragmatic enrichment in BSMML (Aloni2022)
 - ▶ Expressive analysis of epistemic possibility (Veltman1996, Yalcin2007)
 - ▶ Plausibility model for belief in epistemic logic (VanBenthem2006, BaltagSmets2006)
 - ▶ Factivity as presupposition (Lasersohn2009, SpectorEgre2015 etc.)
- ▶ Future work
 - ▶ Dynamics: dynamic semantics perspective vs dynamic epistemic logic; first person perspective vs third person perspective
 - ▶ Multi-agency: impact on factivity; speaker-oriented interpretation vs subject-oriented interpretation

Thank You!!!